

JM MIDCAP FUND

(An open ended equity scheme predominantly investing in mid cap stocks)

Investors must read the Scheme Information Document / Key Information Memorandum and Instructions before completing this Form.

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ONLY FOR INDIVIDUAL INVESTORS)

NFO OPENS ON : October 31, 2022

NFO CLOSES ON : November 14, 2022

Date

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ASBA Application No.

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NFO Application No.

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BROKER / AGENT INFORMATION				FOR OFFICE USE ONLY			
Name & ARN of Distributor / RIA Code	Internal Sub-Broker Code (as allotted by Distributor)	Sub-Broker ARN Code No.	Employee Unique Identification No. (EUIN) [^]	SCSB	SCSB IFSC Code	Syndicate Member Code	SL No.
ARN - 24952			E- E347831	Name & Code	1 Digit Code	Name & Code	

[^]Mandatory: Furnishing of EUIN is mandatory for all transactions (Purchase/Switch/SIP/STP) or following declaration should be signed by the investor (Please ✓ the box).

INVESTMENT DETAILS		ISIN NUMBERS (Please ✓)			
JM MIDCAP FUND	Plan (Please ✓) any one	Option (Please ✓) any one	Direct Plan		Regular Plan
	Regular Plan <input type="checkbox"/>	IDCW <input type="checkbox"/>	<input type="checkbox"/> IDCW Payout: <input type="checkbox"/> IDCW Reinvestment: <input type="checkbox"/> Growth:		
Direct Plan <input type="checkbox"/>	Growth* <input type="checkbox"/>				

* Default Option

Existing Folio No. (if any)

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APPLICANT'S INFORMATION

Name of 1st Applicant: (Name should be as available in Demat Account)

PAN of 1st Applicant: (Permanant Account Number)

Name of 2nd Applicant: (Name should be as available in Demat Account)

PAN of 2nd Applicant: (Permanant Account Number)

Name of 3rd Applicant: (Name should be as available in Demat Account)

PAN of 3rd Applicant: (Permanant Account Number)

DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS (Bank Account should be in the name of First Applicant only)

Bank Account No.

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Bank Name

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Branch Name

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Account Type (pls ✓)	For Residents		For Non - Residents				
	<input type="checkbox"/> Savings <input type="checkbox"/> Current	<input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Repatriable <input type="checkbox"/> Non-Repatriable <input type="checkbox"/> Others					

Total Amount to be blocked (₹ in figures)

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(₹ in words)

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DEPOSITORY ACCOUNT DETAILS

National Security Depository Limited (NSDL)

Central Depository Services (India) Limited (CDSL)

Depository Participant Name:

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DP ID No. IN

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Beneficiary Account No.

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Target ID No.

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UNDERTAKING BY ASBA INVESTOR/S AND ACCOUNT HOLDER/S

1) I/ We hereby undertake that, I/ we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Scheme Information Document (SID) / Key Information Memorandum (KIM) of the above mentioned Scheme and Statement of Additional Information (SAI) of JM Financial Mutual Fund. Further, I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case; the application is liable to be rejected. 2) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB (Self Certified Syndicate Banker) to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/JM Financial Mutual Fund on receipt of instructions from the Registrar and Transfer Agent (RTA) upon allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent M/s KFin Technologies Private Limited (RTA) to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of JM Financial Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is/are incorrect or incomplete or not matching with the depository records, the application shall be rejected and the JM Financial Mutual Fund or JM Financial Asset Management Limited or JM Financial Trustee Company Private Limited or SCSBs shall not be liable for losses, if any.

SIGNATURE	<input checked="" type="checkbox"/> 1ST APPLICANT / POA HOLDER / GUARDIAN SIGNATURE	<input type="checkbox"/> 2ND APPLICANT / POA HOLDER SIGNATURE	<input type="checkbox"/> 3RD APPLICANT / POA HOLDER SIGNATURE
	SCSB BANK - 1ST ACCOUNT HOLDER SIGNATURE	SCSB BANK - 2ND ACCOUNT HOLDER SIGNATURE	SCSB BANK - 3RD ACCOUNT HOLDER SIGNATURE

ACKNOWLEDGEMENT SLIP (To be filled and attached by the Applicant with the NFO Application Form)

JM MIDCAP FUND

Opens On : October 31, 2022

Closes On : November 14, 2022



Received from _____ (sole / first applicant) ASBA Form

dated _____ details of which are as follows:

Application No. _____

Amount Blocked (₹) _____

SCSB (Bank and Branch) _____

Bank Account No. _____

Date of Submission _____

SCSB Bank's Stamp & Receipt Date and Time

All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.